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On 10/01/01

TOWNSEND and TOWNSEND and CREW LLP

By: Brad J. Loos  
Brad J. Loos

3732  
PATENT  
Attorney Docket No.: 18563-002800US

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TC 3700 MAIL ROOM

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

LOC X. PHAN

Application No.: 09/641,208

Filed: August 18, 2000

For: METHODS AND SYSTEMS FOR  
LUBRICATING DENTAL  
APPLIANCES

Examiner: Unassigned

Art Unit: 3732

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The references cited on the attached form PTO-1449 are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application Nos. 09/466,353 and 08/947,080, filed 12/17/99 and 10/08/97 (Attorney Docket Nos. 18563-000120US and 18563-000110US), respectively. It is requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

James M. Heslin  
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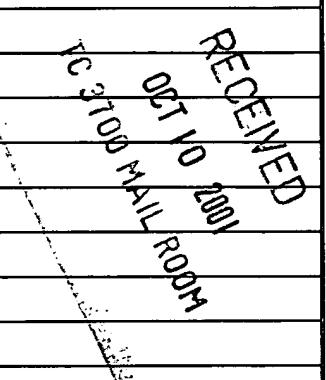
FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Attorney Docket No.: 18563-002800US	Application No.: 09/641,208		
			Applicant: LOC X. PHAN			
			Filing Date: August 18, 2000	Group: 3732		
Reference Designation		U.S. PATENT DOCUMENTS			Page 1	
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)
AA	3,660,900	5/72	Andrews			
AB	3,860,803	1/75	Levine			
AC	3,916,526	11/75	Schudy			
AD	4,504,225	3/85	Yoshii			
AE	4,505,673	3/85	Yoshii			
AF	4,755,139	7/88	Abbatte et al.			
AG	4,798,534	1/89	Breads			
AH	4,856,991	8/89	Breads et al.			
AI	4,936,862	6/90	Walker et al.			
AJ	5,011,405	4/91	Lemchen			
AK	5,017,133	5/91	Miura			
AL	5,035,613	7/91	Breads et al.			
AM	5,055,039	10/91	Abatte et al.			
AN	5,059,118	10/91	Breads et al.			
AO	5,139,419	8/92	Andreiko et al.			
AP	5,186,623	2/93	Breads et al.			
AQ	5,273,429	12/93	Rekow et al.			
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AS	5,340,309	8/94	Robertson			
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AV	5,382,164	1/95	Stern			
AW	5,395,238	3/95	Andreiko et al.			
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BA	5,456,600	10/95	Andreiko et al.			
BB	5,474,448	12/95	Andreiko et al.			
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BH	5,607,305	3/97	Andersson et al.			
BI	5,645,421	7/97	Slootsky			

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		Applicant: LOC X. PHAN	
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<input type="checkbox"/> CC	Raintree Essix™ & ARS Materials, Inc., Raintree Essix™ Technical Magazine Table of Contents and Essix™ Applications, <a href="http://www.essix.com/magazine/default.html">http://www.essix.com/magazine/default.html</a> (Aug. 13, 1997) 7 pages total.		
<input type="checkbox"/> CD	Shilliday, "Minimizing finishing problems with the mini-positioner" <i>Am. J. Orthod.</i> (1971) 59:596-599.		
<input type="checkbox"/> CE	Warunek et al., "Clinical use of silicone elastomer appliances" <i>JCO</i> (1989) XXIII(10):694-700.		
<input type="checkbox"/> CF	Warunek et al., "Physical and mechanical properties of elastomers in orthodontic positioners" <i>Am. J. Orthod. Dentofac. Orthop.</i> (1989) 95:388-400.		
<input type="checkbox"/> CG	Wells, "Application of the positioner appliance in orthodontic treatment" <i>Am. J. Orthodont.</i> (1970) 58:351-366.		
<div style="text-align: right; margin-top: 100px;">  </div>			
EXAMINER		DATE CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.